



TMR 2611

TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application No.	09/941,424
Filing Date	August 28, 2001
First Named Inventor	Curtis E. Jutzi
Art Unit	2611
Examiner Name	Gary Au
Attorney Docket Number	42390P11870

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px;"> - Return Post Card - Copies of 1 cited references </div>
<div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Gregory D. Caldwell, Reg. No. 39,926 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	October 13, 2006

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name	Shannon A. Handy		
Signature		Date	October 13, 2006

Based on PTO/SB/21 (09-04) as modified by Blakely, Sokoloff, Taylor & Zafman (ndc) 10/12/2006.
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~~Fee Transmittal~~
**Fee Transmittal
for FY 2005**

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

Complete if Known	
Application Number	09/941,424
Filing Date	August 28, 2001
First Named Inventor	Curtis E. Jutzi
Examiner Name	Gary Au
Art Unit	2611
Attorney Docket No.	42390P11870

METHOD OF PAYMENT (*check all that apply*)

Check Credit card Money Order None Other (please identify): _____

Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee
 Charge any additional fee(s) or underpayment of fee(s) Credit any overpayments
under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

FEE CALCULATION

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1051	130	2051 65 Surcharge - late filing fee or oath	
1052	50	2052 25 Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053 130 Non-English specification	
1251	120	2251 60 Extension for reply within first month	
1252	450	2252 225 Extension for reply within second month	
1253	1,020	2253 510 Extension for reply within third month	
1254	1,590	2254 795 Extension for reply within fourth month	
1255	2,160	2255 1,080 Extension for reply within fifth month	
1401	500	2401 250 Notice of Appeal	
1402	500	2402 250 Filing a brief in support of an appeal	
1403	1,000	2403 500 Request for oral hearing	
1451	1,510	2451 1,510 Petition to institute a public use proceeding	
1460	130	2460 130 Petitions to the Commissioner	
1807	50	1807 50 Processing fee under 37 CFR 1.17(q)	
1806	180	1806 180 Submission of Information Disclosure Stmt	180.00
1809	790	1809 395 Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810 395 For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify)

SUBTOTAL (2) (\$ 180.00)

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Gregory D. Caldwell	Registration No. (Attorney/Agent)	39,926	Telephone
Signature				Date
				10/13/06

Based on PTO/SB/17 (12-04) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 12/15/2004.
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

OCT 16 2006

CURTIS E. JUTZI

Application No.: 09/941,424

Filed: August 28, 2001

For: SERVER-SIDE PREFERENCE PREDICTION BASED ON
CUSTOMER BILLING INFORMATION TO GENERATE A
BROADCAST SCHEDULE - UTILITY

Art Group: 2611

Examiner: Gary Au

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

10/18/2006 WASFAW1 00000016 022666 09941424

01 FC:1806 180.00 DA

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: October 13, 2006

Gregory D. Caldwell Reg. No. 39,926

12400 Wilshire Boulevard, 7th Floor
Los Angeles, CA 90025
Telephone: (503) 439-8778

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Shannon Handy 10-13-06
Shannon A. Handy Date



Substitute for form 1449A/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/823,566
				Filing Date	March 30, 2001
				First Named Inventor	Jay H. Connelly
				Art Unit	3622
				Examiner Name	Donald Champagne
				Attorney Docket Number	42390P10859
Sheet	/	of	4		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
1	US-09/532,034	03-22-2000	Connelly		
2	US-09/533,024	03-22-2000	Connelly		
3	US-09/533,048	03-22-2000	Connelly		
4	US-09/717,579	11-21-2000	Jutzi		
5	US-4,546,382	10-08-1985	McKenna, et al.		
6	US-4,566,030	01-21-1986	Nickerson, et al.		
7	US-4,624,578	11-25-1986	Green		
8	US-5,227,874	07-13-1993	Von Kohorn		
9	US-5,388,211	02-07-1995	Hornbuckle		
10	US-5,530,082	06-25-1996	Friebe, et al.		
11	US-5,544,161	08-06-1996	Bigham, et al.		
12	US-5,600,573	02-04-1997	Hendricks, et al.		
13	US-5,734,890	03-31-1998	Case, et al.		
14	US-5,740,549	04-14-1998	Reilly, et al.		
15	US-5,758,247	04-26-1998	Herz, et al.		
16	US-5,801,747	09-01-1998	Bedard		
17	US-5,848,396	12-08-1998	Gerace		
18	US-5,974,398	10-26-1999	Hanson, et al.		
19	US-5,991,735	11-23-1999	Gerace		
20	US-5,999,908	12-07-1999	Abelow		

Examiner Signature		Date Considered	
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

2 of 4

Complete if Known

Application Number	09/823,566
Filing Date	March 30, 2001
First Named Inventor	Jay H. Connelly
Art Unit	3622
Examiner Name	Donald Champagne
Attorney Docket Number	42390P10859

U.S. PATENT DOCUMENTS

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Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
22	US-6,012,051		01-04-2000	Sammon, Jr., et al.	
23	US-6,018,359		01-25-2000	Kermode, et al.	
24	US-6,226,618		05-01-2001	Downs, et al.	
25	US-6,271,893		08-07-2001	Kawaguchi, et al.	
26	US-6,463,585		10-08-2002	Hendricks, et al.	
27	US-6,513,014		01-28-2003	Walker, et al.	
28	US-6,625,716		09-23-2003	Fackenthal	
29	US-6,642,862		11-04-2003	Boudry	
30	US-6,668,246		12-23-2003	Yeung, et al.	
31	US-6,782,476 B1		08-24-2004	Ishibashi	
32	US-6,801,936 B1		10-05-2004	Diwan	
33	US-6,923,911 B1		08-02-2005	Beier, et al.	
34	US-7,047,456		05-16-2006	Jutzi	
35	US-7,055,165		05-30-2006	Connelly	
36	US-2002/0073245 A1		06-13-2002	Hallford	
37	US-2002/0143591 A1		10-03-2002	Connelly	
38	US-2002/0143607 A1		10-03-2002	Connelly	
39	US-2002/0144265 A1		10-03-2002	Connelly	
40	US-2002/0144269 A1		10-03-2002	Connelly	
41	US-2002/0145052 A1		10-10-2002	Cessac	

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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		Number - Kind Code ² (if known)			
42	US-2002/0152474 A1	10-17-2002	Dudkiewicz		
43	US-2002/0162120 A1	10-31-2002	Mitchell		
44	US-2002/0167947 A1	11-14-2002	Hallford, et al.		
45	US-2002/0193066 A1	12-19-2002	Connelly		
46	US-2002/0194585 A1	12-19-2002	Connelly		
47	US-2002/0194603 A1	12-19-2002	Connelly		
48	US-2003/0005311 A1	01-02-2003	Kajimura, et al.		
49	US-2003/0005438 A1	01-02-2003	Crinon, et al.		
50	US-2003/0005451 A1	01-02-2003	Connelly		
51	US-2003/0005465 A1	01-02-2003	Connelly		
52	US-2003/0016673 A1	01-23-2003	Pendakur, et al.		
53	US-2003/0046633 A1	03-06-2003	Jutzi		
54	US-2003/0046683 A1	03-06-2003	Jutzi		
55	US-2003/0058933 A1	03-27-2003	Jutzi		
56	US-2003/0061611 A1	03-27-2003	Pendakur		
57	US-2003/0065520 A1	04-03-2003	Jutzi, et al.		
58	US-2003/0066090 A1	04-03-2003	Traw, et al.		
59	US-2003/0135553 A1	07-17-2003	Pendakur		
60	US-2003/0135857 A1	07-17-2003	Pendakur, et al.		
61	US-				

FOREIGN PATENT DOCUMENTS

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet

4 of 4

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First Named Inventor	Jay H. Connelly
Art Unit	3622
Examiner Name	Donald Champagne
Attorney Docket Number	42390P10859

OCT 16 2001
PATENTS & TRADEMARKS

NON PATENT LITERATURE DOCUMENTS

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